CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL IN AN ENVELOPE

ADDRESSED TO: COMMISSIONER OF PATENTS

P.O. BOX 1450, ALEXANDRIA, VA 22313

ON February 18, 2005

Docket No.: B45145

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Bakaletz et al.

Serial No.:

09/719,379

Group No.: 1645

Filed:

June 4, 2001

Examiner:

S. J. N. Devi

For:

VACCINE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

AMENDMENT, REQUEST AND FEE TO DELETE AND/OR ADD TO ORIGINAL ERRONEOUSLY NAMED OR NOT NAMED INVENTOR(S) IN -NONPROVISIONAL APPLICATION - DECLARATION (37 C.F.R. § 1.48(a))

- 1. This amendment and petition is to:
 - × correct the incorrect original naming of inventor(s) in the declaration under 37 C.F.R. § 1.48(a) as set forth and filed on May 30, 2001, and/or
 - ☐ add inventor(s) for subject matter disclosed in the application, but previously unclaimed under 37 C.F.R. § 1.48(c).
- 2. Addition of Inventor(s)
 - Add the following previously unnamed person(s) as inventor(s) of this application:

Joseph Cohen

3. Attachments:

(a)

A statement from:

🗵 each person being added as an inventor that the error in inventorship
occurred without deceptive intention on his or her part. 37 C.F.R. §
1.48(a)(1).
☐ each person being deleted as an inventor that the error in inventorship
accurred without decentive intention on his or her part 37 C F R &

- □ each person being deleted as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).
- □ each person being added as an inventor that the amendment is necessitated by amendment of the claims and that the inventorship error occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(c)(1).
- (b)
 a declaration by each of the actual inventor(s) as required by 37 C.F.R. § 1.63 (or as permitted by §§ 1.42, 1.43, or 1.47). 37 C.F.R. § 1.48(a)(2).
- (c) written assent of the assignee 37 C.F.R. 1.48(a)(4).
- (d) Attached is an explanation of facts, including the ownership of all the claim(s) being claimed in this application, including the ownership of all the claim(s) at the time the last claimed invention was made (Declaration of Inventorship and Common Ownership of Claims in Application).
- 4. Fee Payment (37 C.F.R. § 1.17(I))

The fee required is paid as follows:

- ☐ Enclosed is a check for \$130.00
- Authorization is hereby made to charge the amount of \$130.00 to Deposit Account No. 19-2570.
- Exact Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

Respectfully submitted,

Jeffrey A. Sutton

Attorney for Applicants Registration No. 34,028

GLAXOSMITHKLINE
Corporate Intellectual Property - UW2220
P.O. Box 1539
King of Prussia, PA 19406-0939
Phone (215) 270-6316
Facsimile (215)270-5090
N:VASVPTO/B45145/Petition to Amend Inventorship.doc

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P.O. Box 1450

Alexandria, VA 22313

02/25/2005 LWONDIM1 00000143 192570

09719379

01 FC:1464

130.00 DA

STATEMENT OF OMITTED INVENTOR 37 CFR 1.48(a)(2)

I, Joseph Cohen, of Rixensart, Belgium, declare that I am an inventor to the above-identified application and that the error in inventorship occurred without deceptive intention on my part. I was properly named as an inventor on the corresponding parent PCT application, WO 99/64067 (copy enclosed), but my name was inadvertently omitted from the Declaration and Power of Attorney during National Stage Commencement under 35 U.S.C. 371(c).

Joseph Cohen

Date

13/01/05

(21) International Application Number:

(22) International Filing Date:

9812613.9

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: (11) International Publication Number: WO 99/64067 A61K 39/02, 39/00, 39/102, 38/00, C07H **A2** (43) International Publication Date: 16 December 1999 (16.12.99) 21/04, 21/02, C07K 16/00, C12P 21/06, 21/04, C12N 1/20, G01N 33/53

GB

PCT/US99/11980

28 May 1999 (28.05.99)

(30) Priority Data:

(71) Applicants (for all designated States except US): SMITHK-

11 June 1998 (11.06.98)

LINE BEECHAM BIOLOGICALS S.A. [BE/BE]; Rue de l'Institut 89, B-1330 Rixensart (BE). OHIO STATE UNI-VERSITY RESEARCH FOUNDATION [US/US]; 1960 Kenny Road, Colombus, OH 43210-1063 (US).

(72) Inventors; and (75) Inventors/Applicants (for US only): BAKALETZ, Lauren, O. [US/US]; 700 Children's Drive, Colombus, OH 43205 (US). COHEN, Joseph [US/BE]; Rue de l'Institut 89. B-1330 Rixensart (BE). DEQUESNE, Guy [BE/BE]; Rue de l'Institut 89, B-1330 Rixensart (BE). LOBET, Yves [BE/BE]; Rue de l'Institut 89, B-1330 Rixensart (BE).

(74) Agents: DUSTMAN, Wayne, J. et al.; SmithKline Beecham Corporation, Corporate Intellectual Property, UW2220, 709 Swedeland Road, P.O. Box 1539, King of Prussia, PA 19406-0939 (US).

(81) Designated States: AE, AL, AU, BA, BB, BG, BR, CA, CN, CZ, EE, GE, GH, GM, HR, HU, ID, IL, IN, IS, IP, KP, KR, LC, LK, LR, LT, LV, MG, MK, MN, MX, NO, NZ, PL, RO, SG, SI, SK, SL, TR, TT, UA, US, UZ, VN, YU, ZA, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

With declaration under Article 17(2)(a); without abstract; title not checked by the International Searching Authority.

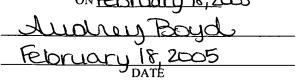
(54) Title: VACCINE

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Filed: June 4, 2001 Examiner: S. J. N. Devi

For: VACCINE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

STATEMENT OF ASSIGNEE FOR CORRECTION OF INVENTORSHIP 37 CFR 1.48(a)(5)

Assignees

SmithKline Beecham Biologicals S.A.

Rue de l'Institut 89, B-1330, Rixensart, Belgium and

Ohio State University Research Foundation

1960 Kenny Road, Columbus, Ohio 43210-1063, United States of America

Assignment

Recorded on June 4, 2001

Reel 011858

Frame <u>0062</u>

Serial No.: 09/719,379 Filing Date: June 4, 2001

 $_{\bullet N}(\lambda _{i})$

	Recor	ded herewith
		A separate ☐ "ASSIGNMENT" (DOCUMENT) COVER SHEET
		is attached.
		or
		Form PTO 1595 is attached.
A 1	1	
•	•	ents to the correction of inventorship filed
X	herew	
	on	·
We. SmithK	line Bee	echam Biologicals, S.A. and Ohio State University Research
		owners in the above-identified application.
1 oundation,	are jonit	owners in the above racininea application.
It is noted that	at Dr. Jo	seph Cohen is an omitted inventor. Dr. Cohen was properly named
as an invento	or on the	parent International Application, WO 99/64067 (copy enclosed), but
		nitted from the U.S. Declaration and Power of Attorney during
	-	mencement under 35 U.S.C. 371(c).
•		
We assent to	the corr	ection of Inventorship.
		1
\mathcal{M}	cercus	presentative Authorized Representative
Author	rized Re	presentative Authorized Representative
SmithKline I	Beecham	Biologicals, S.A. Ohio State Univ. Research Foundation
ATTOKNEY I	AND AUT Title	Title Therim Assa UP Technolog Transfer
1 1 F	EB 200!	
-		Jan 14, 2005
	Date	Date

(21) International Application Number:

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



WO 99/64067

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: (11) International Publication Number: A61K 39/02, 39/00, 39/102, 38/00, C07H **A2** (43) International Publication Date: 16 December 1999 (16.12.99) 21/04, 21/02, C07K 16/00, C12P 21/06, 21/04, C12N 1/20, G01N 33/53

PCT/US99/11980

GB

(22) International Filing Date: 28 May 1999 (28.05.99)

11 June 1998 (11.06.98)

(71) Applicants (for all designated States except US): SMITHK-LINE BEECHAM BIOLOGICALS S.A. [BE/BE]; Rue de l'Institut 89, B-1330 Rixensart (BE). OHIO STATE UNI-

VERSITY RESEARCH FOUNDATION [US/US]; 1960 Kenny Road, Colombus, OH 43210-1063 (US).

(72) Inventors; and (75) Inventors/Applicants (for US only): BAKALETZ, Lauren, O. [US/US]; 700 Children's Drive, Colombus, OH 43205 (US). COHEN, Joseph [US/BE]; Rue de l'Institut 89, B-1330 Rixensart (BE). DEQUESNE, Guy [BE/BE]; Rue de l'Institut 89, B-1330 Rixensart (BE). LOBET, Yves [BE/BE]; Rue de l'Institut 89, B-1330 Rixensart (BE).

(74) Agents: DUSTMAN, Wayne, J. et al.; SmithKline Beecham Corporation, Corporate Intellectual Property, UW2220, 709 Swedeland Road, P.O. Box 1539, King of Prussia, PA 19406-0939 (US).

(81) Designated States: AE, AL, AU, BA, BB, BG, BR, CA, CN, CZ, EE, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KP, KR, LC, LK, LR, LT, LV, MG, MK, MN, MX, NO, NZ, PL, RO, SG, SI, SK, SL, TR, TT, UA, US, UZ, VN, YU, ZA, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

With declaration under Article 17(2)(a); without abstract; title not checked by the International Searching Authority.

(54) Title: VACCINE

(30) Priority Data: 9812613.9

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

on the invention entitled:				
		VACCINE		
·				
the specification of which	(check one)			
[] is attached hereto.				
[X] was filed on 28	3 May 1999 as Serial	No. PCT/US99/11980		
and was amended	on	(if applicable).		
I hereby state that I have claims, as amended by an			ove identified specification, includi	ng the
I acknowledge the duty to of Federal Regulations, S		which is material to the p	patentability as defined in Title 37, 0	Code
I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or Inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.				
Prior Foreign Application	(s)	•		
Prior Foreign Application Number	(s) Country	Filing Date	Priority Claimed	
	• •	Filing Date 11 June 1998	Priority Claimed Yes	
Number 9812613.9 I hereby claim the benefit application(s) listed below	Country Great Britain under Title 35, Unite v.	11 June 1998		al
Number 9812613.9 I hereby claim the benefit	Country Great Britain under Title 35, Unite	11 June 1998	Yes	al
Number 9812613.9 I hereby claim the benefit application(s) listed below Application Number I hereby claim the benefit Section 365(c) of any PC subject matter of each of International application in 112, I acknowledge the december 112.	Country Great Britain under Title 35, Unite v. Filing Date under Title 35, Unite r International applicate the claims of this appl n the manner provide uty to disclose informate ection 1.56 which bec	11 June 1998 d States Code, Section 11 d States Code, Section 12 ation designating the Unit lication is not disclosed in d by the first paragraph of ation which is material to same available between th	Yes	s) or as the ion Code

I hereby appoint the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that Customer Number:

Customer Number 20462.

Address all correspondence and telephone calls to Jeffrey Sutton, SmithKline Beecham Corporation, Corporate Intellectual Proprety-U.S., UW2220, P.O. Box 1539, King of Prussia, Pennsylvania 19406-0939, whose telephone number is 610-270 6316.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor:	Lauren Bakaletz
Inventor's Signature:	Xaure O. Fakola Date: 9/24/04
Residence:	Columbus, Ohio, United States of America
Citizenship:	American
Post Office Address:	SmithKline Beecham Corporation Corporate Intellectual Property - UW2220 P.O. Box 1539 King of Prussia, Pennsylvania 19406-0939
Full Name of Inventor:	Joseph Cohen
Inventor's Signature:	Date:
Residence:	Rixensart, Belgium
Citizenship:	American
Post Office Address:	SmithKline Beecham Corporation Corporate Intellectual Property - UW2220 P.O. Box 1539 King of Prussia, Pennsylvania 19406-0939

. Full Name of Inventor:	Guy Dequesne
Inventor's Signature:	Date:
Residence:	Belgian
Citizenship:	Rixensart, Belgium
Post Office Address:	SmithKline Beecham Corporation Corporate Intellectual Property - UW2220 P.O. Box 1539 King of Prussia, Pennsylvania 19406-0939
Full Name of Inventor:	Yves Lobet
Inventor's Signature:	Date:
Residence:	Belgian
Citizenship:	Rixensart, Belgium
Post Office Address:	SmithKline Beecham Corporation Corporate Intellectual Property - UW2220 P.O. Box 1539 King of Prussia, Pennsylvania 19406-0939

Docket No.: B45145

DECLARATION AND POWER OF ATTORNEY

EPCT/US99/11980

As a below named inventor, I hereby declare that:

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and			
inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is on the invention entitled:			
VACCINE			
the specification of which (check one) [] is attached hereto. [X] was filed on 28 May 1999 as Serial No. PCT/US99/11980 and was amended on (if applicable).			
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.			
I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, of Federal Regulations, Section 1.56.	Code		
I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or Inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.			
Prior Foreign Application(s)			
Number Country Filing Date Priority Claimed			
9812613.9 Great Britain 11 June 1998 Yes			
I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.			
application(s) listed below.	nal		
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application(s) listed below.	(s) or r as the stion 7, Code		

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Full Name of Inventor:	Lauren Bakaletz
Inventor's Signature:	Date:
Residence:	Columbus, Ohio, United States of America
Citizenship:	American
Post Office Address:	SmithKline Beecham Corporation Corporate Intellectual Property - UW2220 P.O. Box 1539 King of Prussia, Pennsylvania 19406-0939
Full Name of Inventor:	
Inventor's Signature:	Mel 64 Date: 11. April 2003
Residence:	Rixensart, Belgium
Citizenship:	American
Post Office Address:	SmithKline Beecham Corporation Corporate Intellectual Property - UW2220 P.O. Box 1539

King of Prussia, Pennsylvania 19406-0939

•	
Full Name of Inventor:	Guy Dequesne
Inventor's Signature:	Date: Afril n loo
Residence:	Belgran
Citizenship:	Rixensart, Belgium
Post Office Address:	SmithKline Beecham Corporation Corporate Intellectual Property - UW2220 P.O. Box 1539 King of Prussia, Pennsylvania 19406-0939
Full Name of Inventor:	Yves Lobet
Inventor's Signature:	Date: Afril 10, Loos
Residence:	Belgian
Citizenship:	Rixensart, Belgium
Post Office Address:	SmithKline Beecham Corporation Corporate Intellectual Property - UW2220 P.O. Box 1539

King of Prussia, Pennsylvania 19406-0939